

# The use of Predictive Nano EHS and Risk Assessment to build a Sustainable Nanotechnology Enterprise

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# *Nanotechnology as a Sustainability Science*

VS

## *The Sustainability of Nanotechnology*

### Nano as a Sustainability Science

- Environmental cleanup
- Decreasing carbon footprint
- Societal acceptance
- Energy, food, water impact
- Green manufacturing
- Nanomedicine/POC delivery
- Education and Outreach

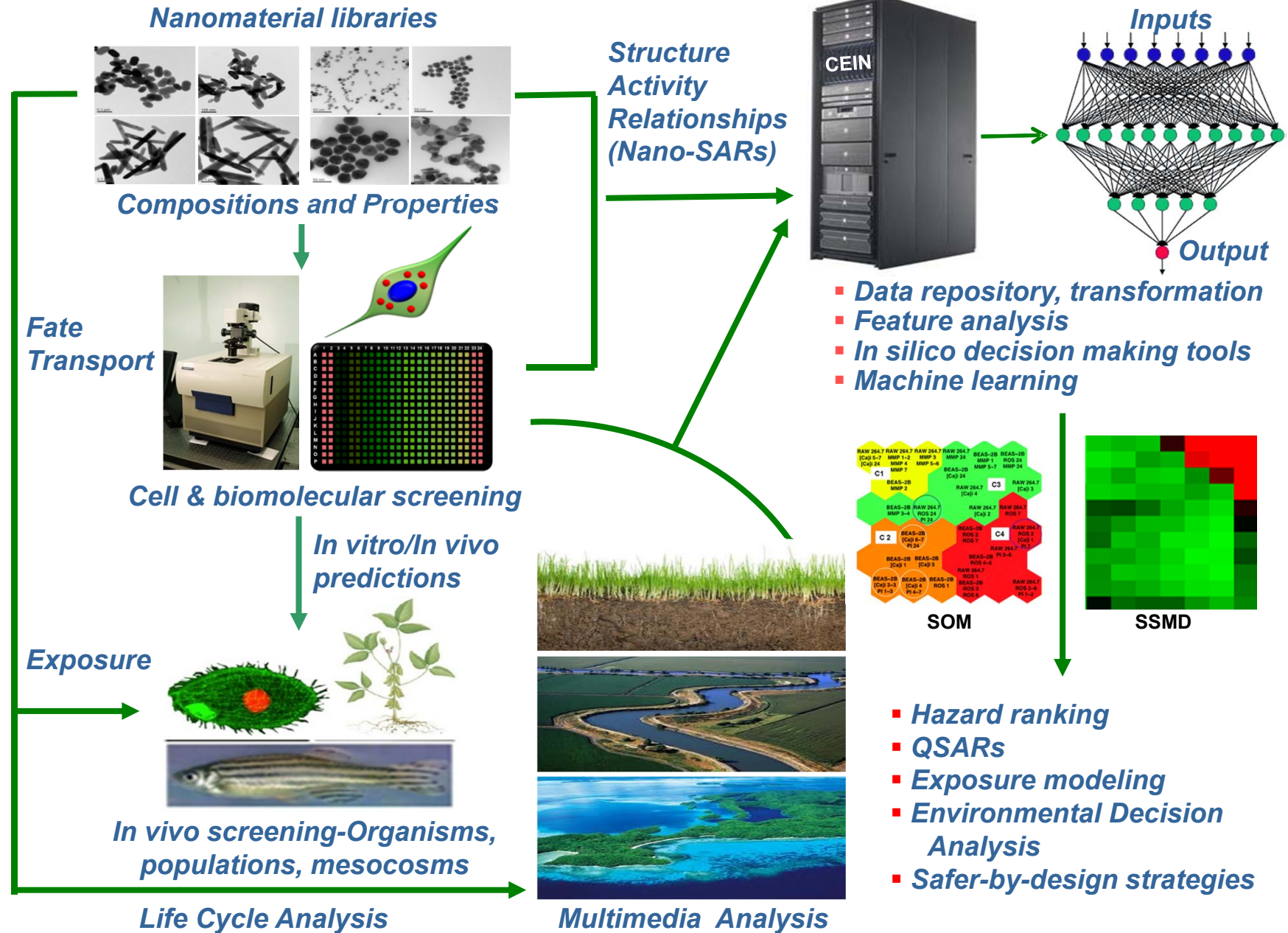
### Sustainability of Nanotechnology

- Prospective knowledge and predictive decisions
- Nano EHS and the development of a 21<sup>st</sup>-century high throughput, predictive and computational platform for Nano EHS
- Adaptable risk assessment
- Life Cycle analysis
- Legal & Policy considerations

U N I V E R S I T Y O F C A L I F O R N I A

UC  CEIN Center for Environmental Implications of Nanotechnology

# UC CEIN

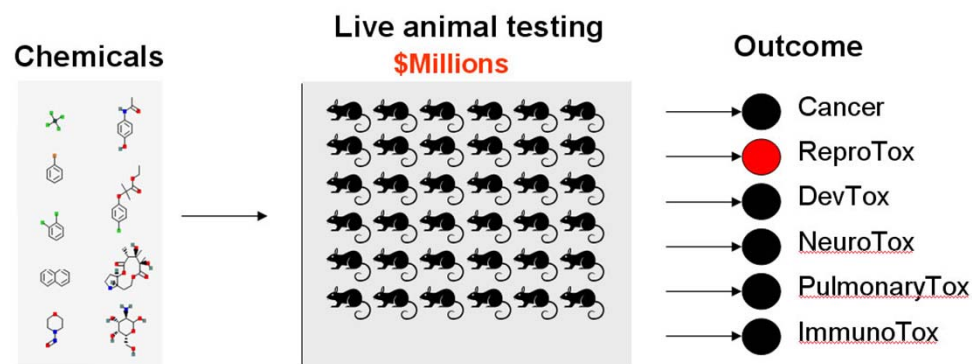


# “Toxicity Testing in the 21st Century: A Vision and a Strategy”

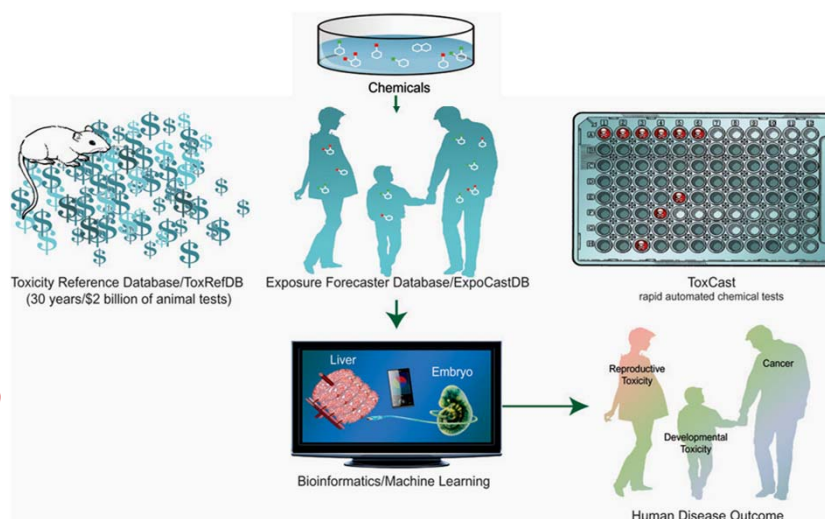
Current: One material at a time descriptive animal testing

## US National Academy of Science (2007)

- Wide coverage of toxicants
- Robust scientific platform for screening
- Predictive tests utilizing toxicity mechanisms
- High throughput discovery
- Connectivity to *in vivo*



Proposed: Rapid mechanism-based predictive testing



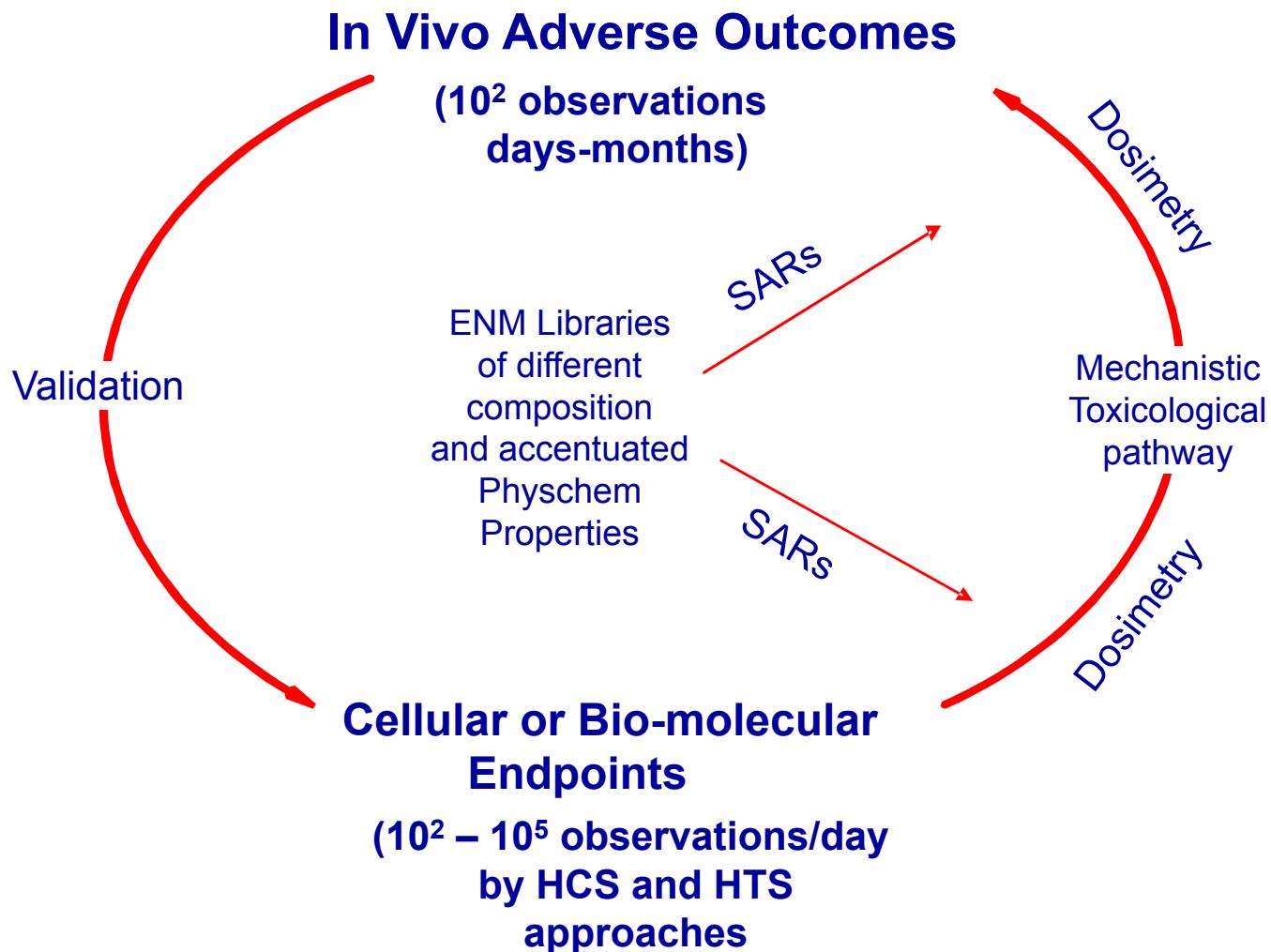
**Meng et al. ACS Nano. 2009**

**Nel et al. Accounts Chem Res, 2012**

[http://www.nap.edu/catalog.php?record\\_id=11970](http://www.nap.edu/catalog.php?record_id=11970)

<http://www.epa.gov/ncct/toxcast>

# Nanomaterial Predictive Toxicology (*proportional weighted discovery*)

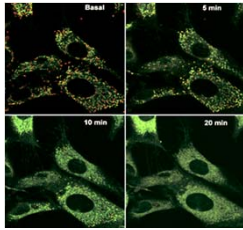


*Meng et al. ACS Nano. 2009*

*Nel et al. Accounts Chem Res, 2012*

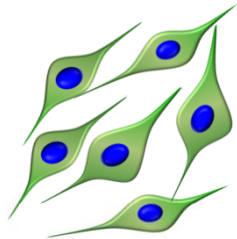
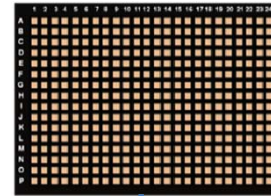


# Tools: Cellular High Throughput Screening



Mitochondrial damage  
ROS generation  
Stress response  
Cellular apoptosis

Epifluorescence  
microscopy

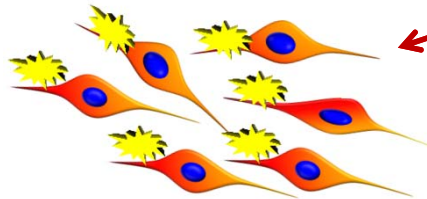


Cell growth



RBC lysis

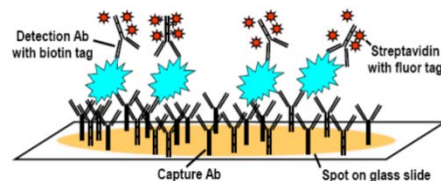
UV-Vis  
spectroscopy



Reporter genes for  
sublethal effects

Luminescence

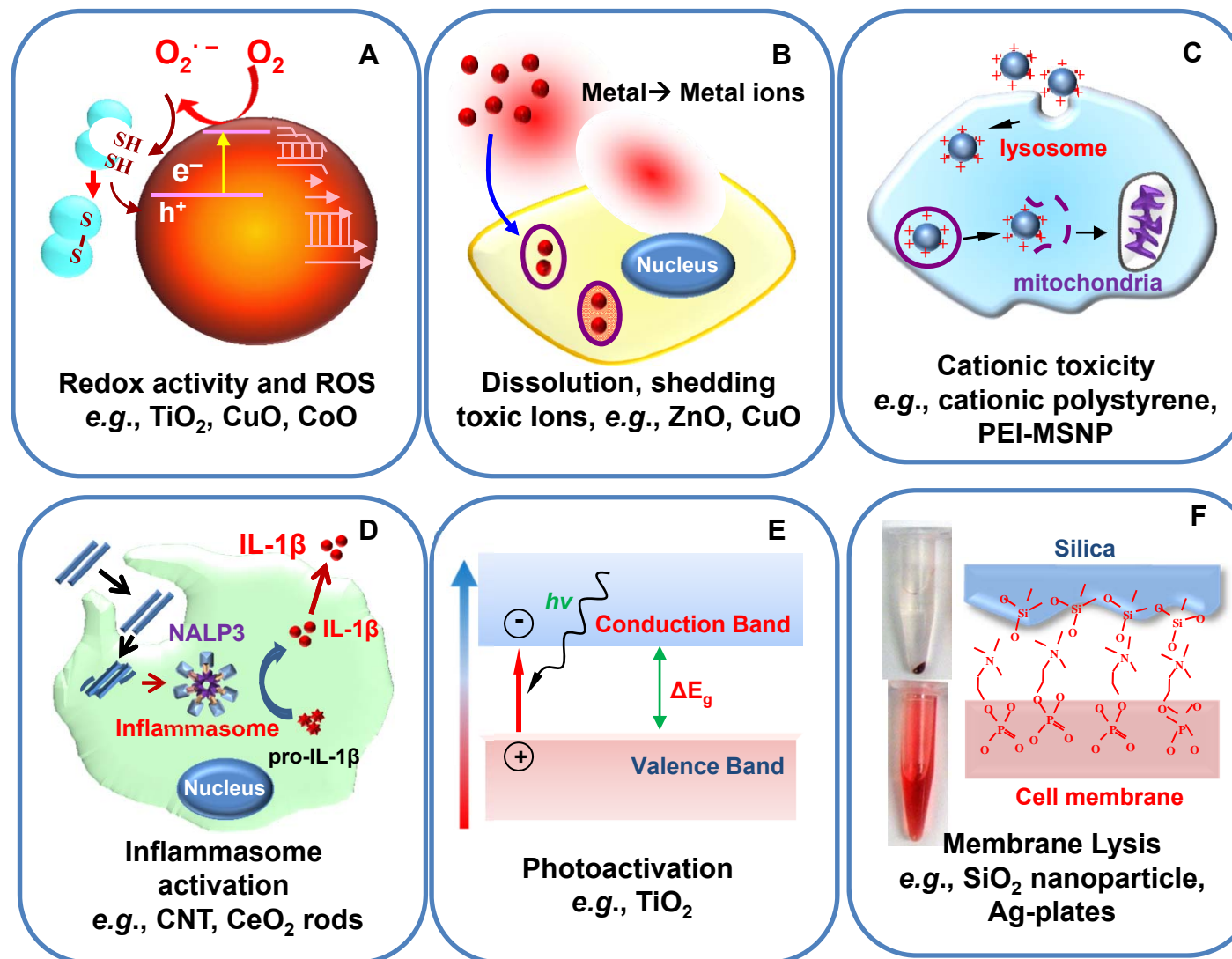
Multiplex cytokine  
& Chemokine assay



Assessment of Inflammation

*George et al. ACS Nano. 2010*  
*George et al. ACS Nano. 2011*  
*Nel et al. ACR. 2012*

# Tools: Mechanistic Toxicological Pathways in Cells for Predictive Toxicological Modeling



Nel et al. *Nature Material*, 2009  
Xia et al, *ACS Nano*, 2008  
Xia et al. *ACS Nano*. 2011

George et al. *ACS Nano*. 2010  
George et al. *ACS Nano*. 2011  
George et al *JACS* 2011

Lin et al. *ACS Nano*. 2011  
Xia et al *ACS Nano*. 2009  
Zhang et al *ACS Nano* 2011

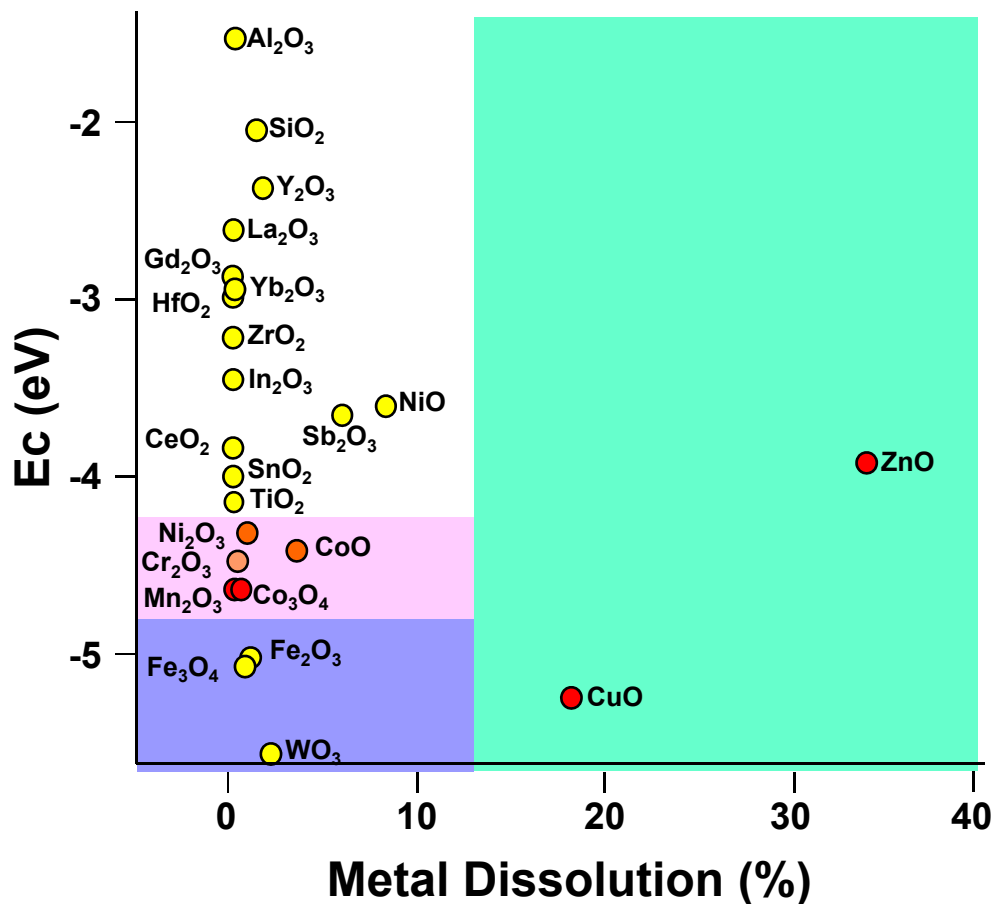
Wang et al. *ACS Nano*. 2010  
Wang et al *ACS Nano*. 2011





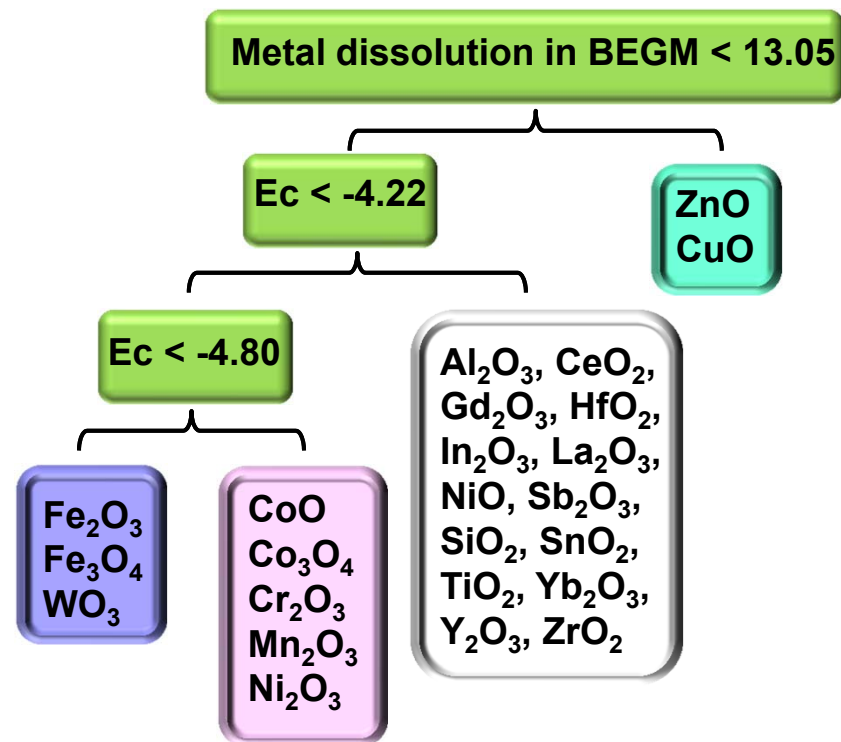
# Toxicity explained by Dissolution and Conduction Energy (statistical testing of scientific hypothesis)

- Highly non-linear effects of dissolution and conduction energy explain MoX toxicity in agreement with biochemical theories.



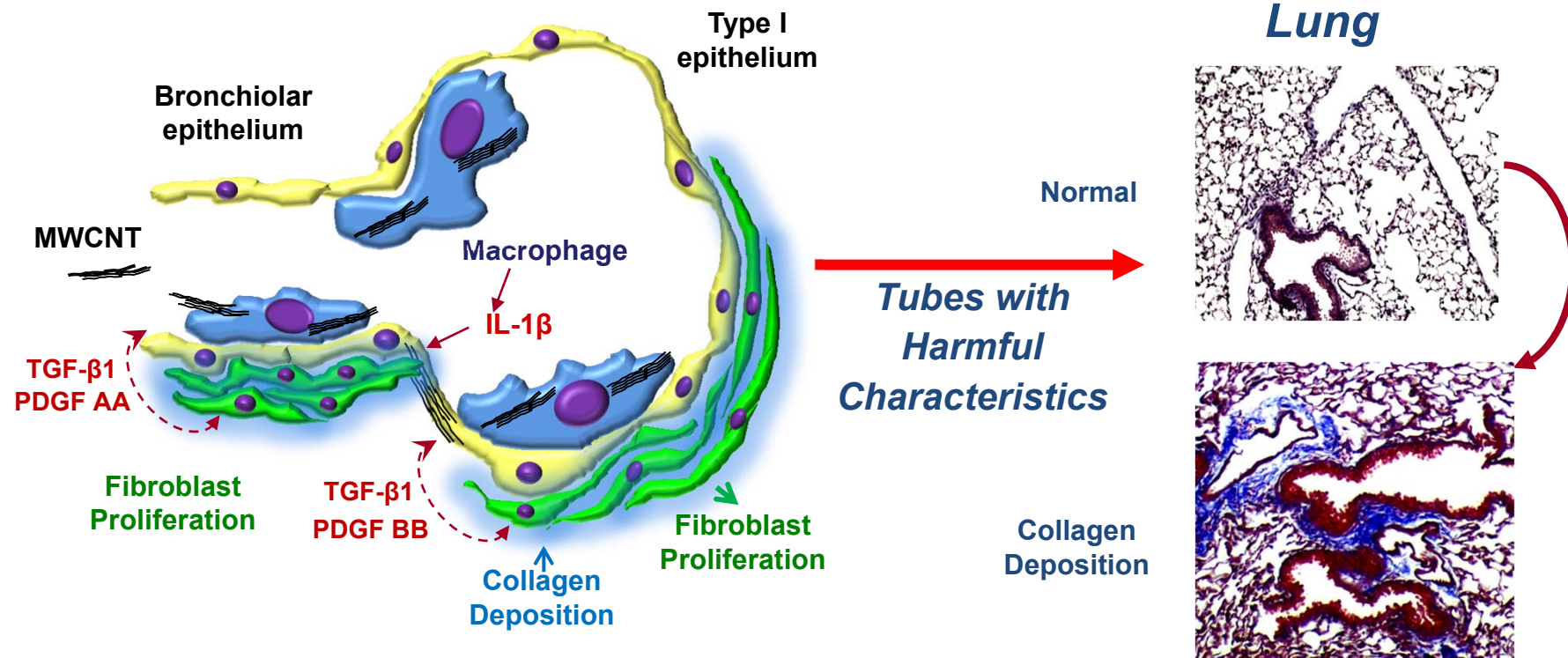
■ Low/no   
 ■ Toxic   
 ■ Highly Toxic

## Regression Tree



George et al. ACS Nano. 2010  
 Xia et al. ACS Nano. 2011  
 Zhang et al. ACS Nano. 2012

# Quantifiable Cooperative Cellular Interactions as Biomarkers for CNT Disease Pathogenesis in the Lung



## *In vitro*

Macrophage      Epithelial cells

↑ IL-1 $\beta$

↑ TGF- $\beta$ 1

**Co-culture**

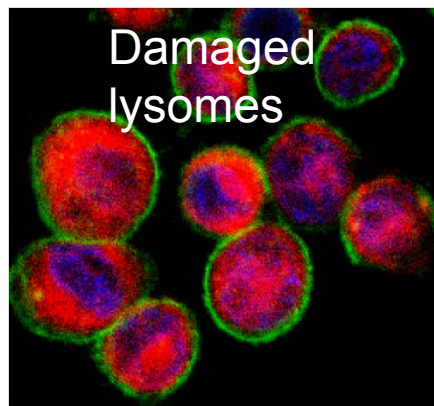
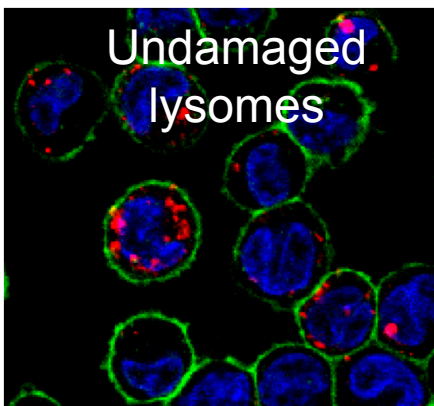
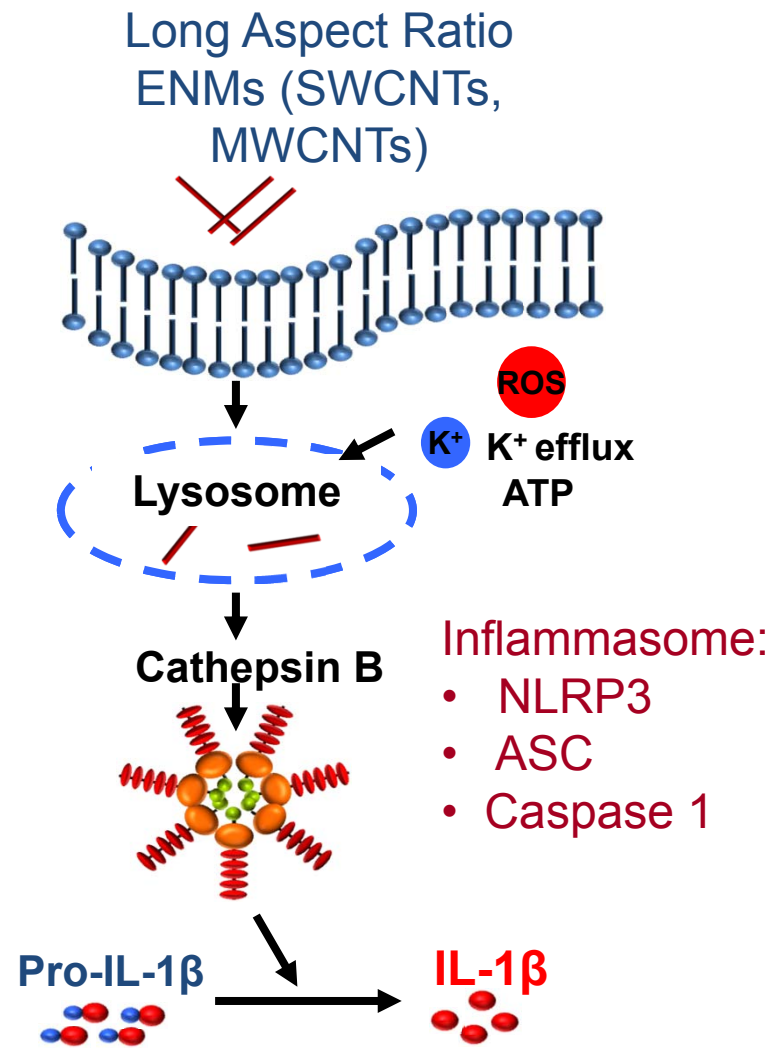
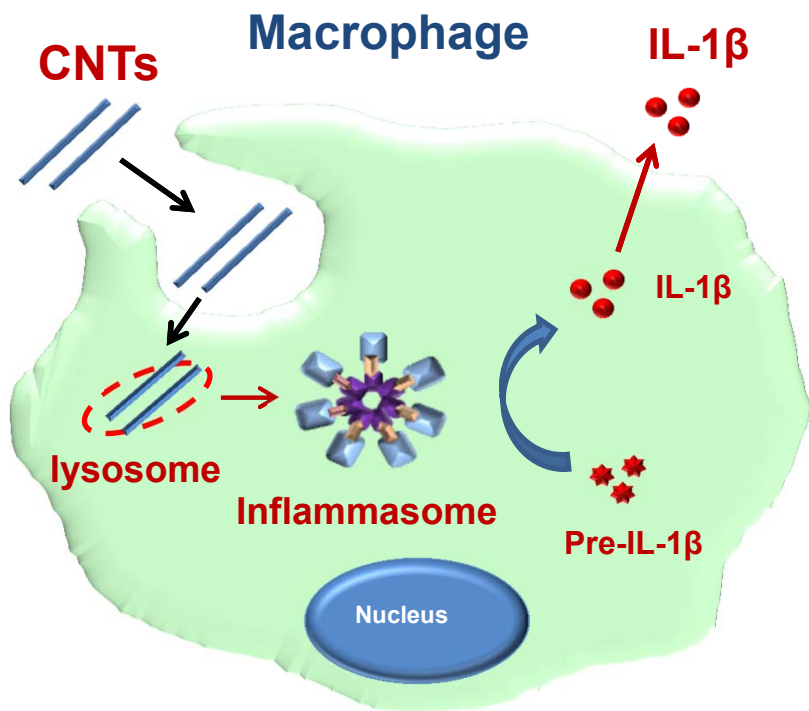
↑ PDGF

BAL Fluid Biomarkers

**Day 1:** ↑ IL-1 $\beta$

**Day 7-21:** ↑ TGF- $\beta$ 1  
↑ PDGF-AA

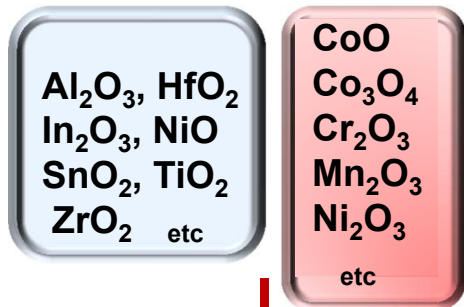
# Use of the Macrophage to develop a Predictive Toxicological Paradigm for Lung Damage



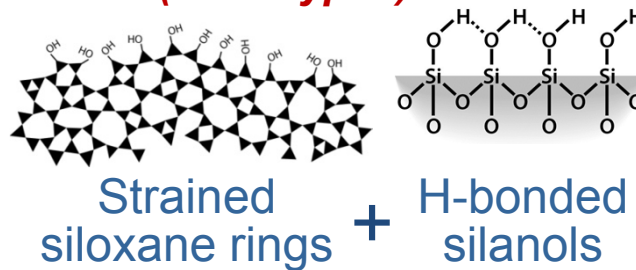
*Wang et al. ACS Nano. 2010*  
*Wang et al ACS Nano. 2011*

# Predictive Toxicology Approaches allows Large Numbers of Materials to be grouped in Hazard Band Categories

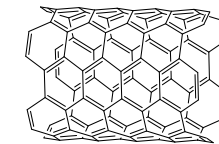
## Transition MOx's (>30)



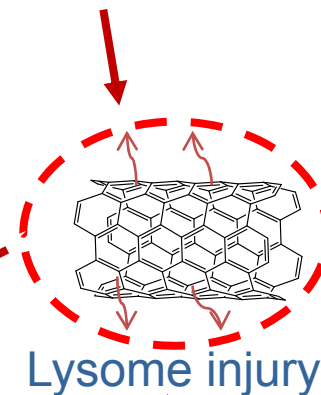
## High and Low Temp Silicas (>5 Si types)



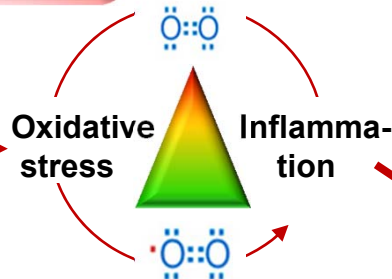
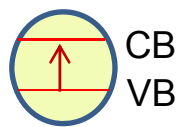
## SWCNT & MWCNT Libraries (>5 batches)



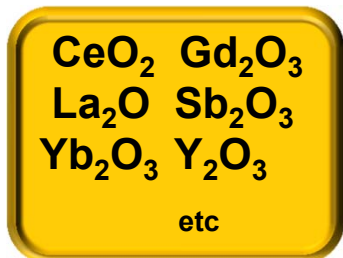
Harmful SARs



NLRP3

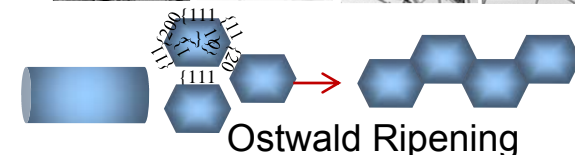
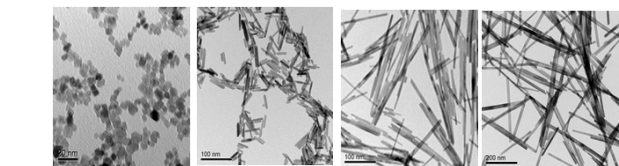


NLRP3



## Rare Earth Oxides (>10)

George et al. ACS Nano. 2010  
 Xia et al. ACS Nano. 2011  
 Zhang et al. ACS Nano. 2012  
 Nel et al. ACR. 2012



## LAR Metal oxides (2)



# Tiered Approach Using Predictive Toxicological Modeling for Hazard Ranking and Risk Translation

- 1<sup>st</sup> tier – *In vitro*
  - Predictive assays to study specific mechanisms of injury
  - Rank potency of test materials vs well-defined positive and negative controls from libraries
  - Develop quantitative SAR analysis for *in silico* predictions
- 2<sup>nd</sup> tier – short term *in vivo*
  - Test selected materials within a category/mechanism/SAR
  - Focused/limited animal studies
  - Validate mechanism and potency within a group
  - *In vivo* hazard ranking (pathophysiology of disease outcome)
- 3<sup>rd</sup> tier – short-term or 90 day inhalation studies
  - Test the most potent materials within a tier 2 category/group
  - Dose-response extrapolation using benchmark materials to allow risk assessment
  - Establish OEL's
  - Use for read-across regulatory decision making

# A Multi-Stakeholder Perspective on the Use of Alternative Test Strategies for Nanomaterial Safety Assessment

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## Provisional Consensus about ATS use for nano EHS

- ATS widely accepted to prioritize ENM hazard assessment but not yet ready for quantitative risk assessment or regulation
- Hazard ranking and grouping of ENMs could assist regulatory and occupational decision making
- ATS and predictive toxicological paradigms can be used to establish hazard categories and material grouping as a 1<sup>st</sup> tier of testing, which is used to prioritize more costly and elaborate animal studies
- Any framework that considers ATS for regulatory purposes needs to be transparent, participatory and engage a broad stakeholder community
- A predictive toxicological approach for CNT is potentially helpful for hazard ranking, prioritizing animal experiments, and grouping of materials
- The development of hazard ranking, material grouping and SARs can become an integral part of new product development
- It is important to consider dose-response extrapolation and exposure scenarios that link mechanistic and predictive toxicological assessment to risk assessment

IN THE SENATE OF THE UNITED STATES: a bipartisan bill to  
modernize title I of the Toxic Substances Control Act (15  
U.S.C. 2601 et seq.) –May 24 2013

“IMPLEMENTATION OF ALTERNATIVE TESTING METHODS.—To promote the development and timely incorporation of new testing methods that are not laboratory animal-based.....”:

“(A) ....develop a strategic plan to promote the development and implementation of alternative test methods and testing strategies to generate information used for any safety-standard determination made that reduce, refine, or replace the use of laboratory animals, including toxicity pathway-based risk assessment, in vitro studies, systems biology, computational toxicology, bioinformatics, and high-throughput screening”

“(B) beginning on the date ...and every 5 years thereafter, submit to Congress a report that describes the progress .....

“(C) fund and carry out research, development, performance assessment, and translational studies to accelerate the development of test methods and testing strategies that reduce, refine, or replace the use of laboratory animals in any safety-standard”